



#14

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	09/666,877
Filing Date	September 20, 2000
First Named Inventor	Daniel J. Mendez
Art Unit	2142
Examiner Name	Mehmet B. Geckil
Attorney Docket Number	43630.00045

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
M6		US- 5,752,246	05-12-1998	Rogers et al.	
		US- 5,878,230	03-02-1999	Weber et al.	
		US- 5,928,329	07-27-1999	Clark et al.	
		US- 5,999,932	12-07-1999	Paul, Sunil	
		US- 6,023,700	02-08-2000	Owens et al.	
		US- 6,034,621	03-07-2000	Kaufman, Steven B.	
		US- 6,073,165	06-06-2000	Narasimhan et al.	
		US- 6,108,709	08-22-2000	Shinomura et al.	
		US- 6,118,856	09-12-2000	Paarsmarkt et al.	
		US- 6,138,146	10-24-2000	Moon et al.	
		US- 6,249,805	06-19-2001	Fleming, III, Hoyt A.	
		US- 6,295,541	09-25-2001	Bodnar et al.	
M6		US- 6,510,455	01-21-2003	Chen et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

RECEIVED

JUN 13 2003

Technology Center 2100

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

Examiner  
Signature

Mehmet Geckil

Date  
Considered

9/2/03

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/08b(05-03) # 14

Approved for use through 04/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 2

**Complete if Known**

Application Number	09/666,877
Filing Date	September 20, 2000
First Named Inventor	Daniel J. Mendez
Group Art Unit	2142
Examiner Name	Mehmet B. Geckil
Attorney Docket Number	43630.00045

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
M.C.		KOHL, John T., et al.; "The Evolution of the Kerberos Authentication Service"; 1991; pages 1-15; This paper is a revision of a paper presented at the Spring 1991 EurOpen Conference in Tromso, Norway.	
n.c.		ADAMS, Charlotte; "Multilevel Secure Networking Charges Ahead"; Federal Computer Week; April 12, 1993; 5 pages.	
ay G		JAEGER, Trent and Atul PRAKASH; "Implementation of a Discretionary Access Control Model for Script-based Systems"; IEEE June 1995; 15 pages.	

RECEIVED

JUN 13 2003

Technology Center 2100

Examiner Signature	Mehmet B. Geckil	Date Considered	9/2/03
-----------------------	------------------	--------------------	--------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.